

FEB 17 2006

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission: 7

Application Number 09/964,390

Filing Date September 28, 2001

First Named Inventor Larry D. Woodring

Art Unit 2642

Examiner Name Q. H. Nguyen

Attorney Docket Number BS01379

**ENCLOSURES**

(Check all that apply)

☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation  
Change of correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)

Remarks:

☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals  
and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Name (Print/Type)

Bambi Faivre Walters

Reg. No.:

45,197

Signature

*Bambi Walters*

Date

2/16/06

**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Name (Print/Type)

Maureen M. Pettine

Date

02/17/2006

Signature

*Maureen M. Pettine*

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FEB 17 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Larry D. Woodring      Group Art Unit: 2642  
Application No.: 09/964,390      Examiner: Q. H. Nguyen  
Filed: September 28, 2001  
Title: "Systems and Methods for Providing User Profile Information in  
Conjunction with an Enhanced Caller Information System"

VIA FACSIMILE 571-273-8300

Attn: Examiner Q. H. Nguyen

## 37 C.F.R. § 1.8 CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on: 02/17/2006 (date of transmission).

Maureen M. Pettine

Name of Person Faxing This Paper

Maureen M. Pettine

Signature

February 17, 2006

Date of Transmission

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR §§1.56, 1.97, and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO 1449 (page 1). The references are as follows:

6,738,615	Chow, et al.	05/2004
6,584,490	Schuster, et al.	06/2003
6,556,540	Mawhinney, et al.	04/2003
6,198,480	Cotugno, et al.	03/2001
6,021,427	Spagna, et al.	02/2000
5,703,934	Zicker, et al.	12/1997
5,699,523	Li, et al.	12/1997

02/21/2006 MBINAS 00000007 09964390

01 FC:1886

180.00 OP

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4,823,304	Frantz, et al.	04/1989
4,797,911	Szlam, et al.	01/1989
2003/0026413	Brandt, et al.	02/2003
2002/0188443	Reddy, et al.	12/2002
2002/0090933	Rouse, et al.	07/2002

This Information Disclosure Statement is being submitted after the mailing of a first Office Action in this application and therefore, a certification fee is believed to be required (37 CFR § 1.97(b)(3)).

It is respectfully requested that the references listed on the attached forms be expressly considered by the Examiner and be made of record in the application and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,



Bambi F. Walters  
Attorney for Applicants  
Registration No. 45,197  
P. O. Box 5743  
Williamsburg, VA 23188  
Telephone: 757.253.5729

Date: 2/16/06

FEB 17 2006

**FEE TRANSMITTAL  
for FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

Application Number	09/964,390
Filing Date	September 28, 2001
First Named Inventor	Larry D. Woodring
Examiner Name	Q. H. Nguyen
Art Unit	2642
Attorney Docket No.	BS01379

**TOTAL AMOUNT OF PAYMENT****\$180.00****METHOD OF PAYMENT (check all that apply)**
☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other  
☐ Deposit Account

Deposit Account No. 19-2167

Deposit Account Name:

**The Director is authorized to: (check all that apply)**
☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee  
☒ Credit any overpayments
**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

	FILING FEES			SEARCH FEES		EXAMINATION FEES		
Application Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)	
Utility	300	150	500	250	200	100	_____	
Design	200	100	100	50	130	65	_____	
Plant	200	100	300	150	160	80	_____	
Reissue	300	150	500	250	600	300	_____	
Provisional	200	100	0	0	0	0	_____	

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total ClaimsExtra ClaimsFee (\$)Fee Paid (\$)Multiple Dependent Claims

Fee (\$)

Fee Paid (\$)

- 20 or HP =

x \_\_\_\_\_

= \_\_\_\_\_

HP=highest number of independent claims paid for, if greater than 3.

Indep. Claims

- 3 or HP =

Extra ClaimsFee (\$)Fee Paid (\$)

x \_\_\_\_\_

= \_\_\_\_\_

HP=highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250.00 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total SheetsExtra Sheets

/ 50

\_\_\_\_\_ (round up) x \_\_\_\_\_

Fee (\$)Fee Paid (\$)

- 100 =

\_\_\_\_\_

/ 50

\_\_\_\_\_ (round up) x \_\_\_\_\_

\_\_\_\_\_ =

\_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

Supplemental IDS Fee

Fee Paid (\$)

180.00**SUBMITTED BY:****Complete (if applicable)**Name (Print/Type)

Bambi F. Walters

Registration No.  
(Attorney/Agent)

45,197

Telephone:

(737) 253-5729

SignatureDate

2/16/06

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# FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

Application Number	09/964,390
Filing Date	September 28, 2001
First Named Inventor	Larry D. Woodring
Examiner Name	Q. H. Nguyen
Art Unit	2642
Attorney Docket No.	BS01379

TOTAL AMOUNT OF PAYMENT **\$180.00**

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other  
☐ Deposit Account Deposit Account No. 19-2167 Deposit Account Name:

## The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

	FILING FEES			SEARCH FEES		EXAMINATION FEES		
<u>Application Type</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fees Paid (\$)</u>	
Utility	300	150	500	250	200	100	_____	
Design	200	100	100	50	130	65	_____	
Plant	200	100	300	150	160	80	_____	
Reissue	300	150	500	250	600	300	_____	
Provisional	200	100	0	0	0	0	_____	

### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<u>Total Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
— - 20 or HP = —	x — = —	—

HP=highest number of independent claims paid for, if greater than 3.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
— - 3 or HP = —	x — = —	—	—

HP=highest number of independent claims paid for, if greater than 3

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250.00 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
— - 100 = —	/ 50 (round up) x — = —	—	—

### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Supplemental IDS Fee **180.00**

### SUBMITTED BY:

Name (Print/Type)	Bambi F. Walters	Registration No. (Attorney/Agent)	45,197	Telephone:	(757) 253-5729
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Signature	<i>Bambi F. Walters</i>	Date	2/16/06
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FEB 17 2006

P. 7

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002: GMB-0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1448A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

## Complete If Known

Application Number	09/984,390
Filing Date	September 28, 2001
First Named Inventor	Larry D. Woodring
Group Art Unit	2642
Examiner Name	Q. H. Nguyen
Attorney Docket Number	BS01379

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,738,615		Chow, et al.	05/2004	
		6,584,490		Schuster, et al.	06/2003	
		6,556,540		Mawhinney, et al.	04/2003	
		6,198,480		Cotugno, et al.	03/2001	
		6,021,427		Spagna, et al.	02/2000	
		5,703,934		Zicker, et al.	12/1997	
		5,699,523		Li, et al.	12/1997	
		4,823,304		Frantz, et al.	04/1989	
		4,797,911		Szlam, et al.	01/1989	
		2003/0026413		Brandt, et al.	02/2003	
		2002/0188443		Reddy, et al.	12/2002	
		2002/0090933		Rouse, et al.	07/2002	

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature

Date Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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